Detection of coronary atherosclerotic plaques with superficial proteoglycans and foam cells using real-time intrinsic fluorescence spectroscopy

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In memory of Michael, our teacher.

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Abstract

Objectives: The protein components of low-density lipoprotein (LDL), oxidized LDL and proteoglycans such as versican contain tryptophan, an amino acid with characteristic fluorescence features at 308 nm excitation wavelength. We hypothesize that intrinsic fluorescence spectroscopy at 308 nm excitation wavelength (IFS308), a method suitable for clinical use, can identify coronary artery lesions with superficial foam cells (SFCs) and/or proteoglycans.

Methods: We subjected 119 human coronary artery specimens to in vitro fluorescence and reflectance spectroscopy. We used 5 basis spectra to model IFS308, and extracted their contributions to each individual IFS308 spectrum. A diagnostic algorithm using the contributions of Total Tryptophan and fibrous cap to IFS308 was built to identify specimens with SFCs and/or proteoglycans in their top 50/9262 m.

Results: We detected SFCs and/or proteoglycans, such as versican or the glycosaminoglycan hyaluronan, in 24 fibrous cap atheromas or pathologic intimal thickening (PIT) lesions. An algorithm using the contributions of Total Tryptophan and fibrous cap to IFS308 was able to identify these segments with 92% sensitivity and 80% specificity.

Conclusion: We were able to establish a set of characteristic LDL, oxidized LDL, versican and hyaluronan fluorescence spectra, ready to be used for real-time diagnosis. The IFS308 technique detects SFCs and/or proteoglycans in fibrous cap atheromas and PIT lesions. SFCs and proteoglycans are histological markers of vulnerable plaques, and this study is a step further in developing an invasive clinical tool to detect the vulnerable atherosclerotic plaque.

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1. Introduction

Thrombosis of rupture- or erosion-prone vulnerable atherosclerotic plaques is believed to be the underlying cause of most acute coronary events [1]. Attention has been focused on the role played by lipoproteins, lipid-laden macrophages, and the extracellular matrix in the process of plaque erosion [2]. Matrix proteoglycans serve as scaffolding that enables accumulation of low-density lipoprotein (LDL), migration of smooth muscle cell

and accumulation of lipoprotein-laden foam cells into the plaque [3–5].

Apo (a) and apo B100 and proteoglycans such as versican and decorin-biglycan contain tryptophan in their protein structure [6–9]. In contrast, elastin, collagen I and collagen III, the most important structural proteins of normal artery and stable plaques, do not harbor tryptophan in their structure (www.uniprot.org).

Our group has previously detected pathognomonic fluorescence features indicating the presence of tryptophan in atherosclerotic artery specimens using 310 nm excitation light [10]. We hypothesize that by quantifying the fluorescence imprint of tryptophan with intrinsic fluorescence spectroscopy (IFS), a method devised by our laboratory [11], the presence of lipoprotein-rich superficial foam cells (SFCs) and proteoglycans could be
detected, allowing the identification of plaques prone to erosion or rupture.

Our prior work has demonstrated a method useful in the identification of SFCs, using data generated by diffuse reflectance spectroscopy (DRS) and IFS at 480 nm excitation [12]. Interestingly the 480 nm excitation wavelength was not useful for the identification of proteoglycans [12]. The current investigation advances our results by adding a new element in the vulnerable plaque equation: the global detection of proteoglycans and SFCs.

2. Methods

2.1. Subjects and specimens

This study was approved by the Massachusetts Institute of Technology Committee on the Use of Humans as Experimental Subjects and the Cleveland Clinic Foundation’s Institutional Review Board, in consultation with the Cuyahoga County Coroner’s Office. 119 coronary artery specimens were harvested from autopsy cases (n = 11) and hearts explanted during cardiac transplant operations (n = 3) [13]. The average age of the 14 subjects was 59 and 9 of them were male. Coronary artery disease was the cause of death in 6 of the autopsy cases and sudden cardiac death was documented in 5. The 3 transplant recipients had a clinical diagnosis of ischemic cardiomyopathy.

Specimens were handled and prepared for spectroscopic measurements as previously detailed [12]. They were used after snap-freezing and thawing. Normalized 308 nm excitation intrinsic fluorescence (IFS308) as well as DRS data acquired prior to −80 °C freezing and after thawing were proven to be consistent (online Fig. 1-supplemental).

2.2. IFS and DRS measurements

2.2.1. Instrumentation

To collect fluorescence and DRS data required to obtain the intrinsic fluorescence spectra, we used a Fast EEM instrument developed in our laboratory. This unit is equipped with a fiber optic probe for light delivery and collection and has already been used successfully in clinical studies [14]. The instrument, optical theory, specimen preparation and calibration methods have been described in prior work and detailed in the online supplemental file theory_instrumentation_supplemental_file.doc [12].

2.2.2. IFS308 basis spectra

IFS308 was obtained using the DRS and 308 nm fluorescence data as previously described [12]. Based on our previous experience, a candidate set of basis spectra was selected, and then narrowed to a minimal number of spectra that fit the coronary spectra with maximum accuracy via non-negativity constrained least-squares minimization. The 6 initial candidate basis spectra were: fibrous cap (FCAP), media (MED), tryptophan (TRP), red-shifted (RS), LDL spectrum, and calcification spectrum. FCAP, MED, and calcification spectra were obtained by averaging the IFS308 spectra of sets of 5 layers of each individual type of coronary tissue. LDL was extracted from human plasma, and tryptophan was purchased from Sigma–Aldrich, St. Louis, MO. The RS spectrum was obtained by multiple curve resolution analysis of a set of 3 whole coronary specimens with a large amount of SFCs in the top layers, as previously described [12].

2.2.3. Identification of tryptophan fluorescence in LDL and proteoglycans spectra

We hypothesized that spectra of LDL and two families of proteoglycans mostly encountered in coronary atherosclerotic lesions (versican and decorin-biglycan) demonstrate signs of tryptophan fluorescence. For this reason we obtained spectra of two vascular proteoglycan preparations: versican and decorin-biglycan, which were isolated and purified by methods described by Hascall et al with modifications [15]. Hyaluronan extracted from bovine vitreous humor was purchased from Sigma–Aldrich, St. Louis, MO. To document what proteoglycan spectra demonstrate spectroscopic features of tryptophan, we modeled them with the help of the basis spectra selected to fit the coronary specimens’ spectroscopic data. With the purpose of a more accurate definition of the hyaluronan fluorescence we obtained spectra of oligo-4 hyaluronan (Hyalose, Oklahoma City, OK) and of its two monomers, N-acetyl-d-glucosamine (NAG) and d-glucuronic acid (Sigma, St. Louis, MO) as described in the online file theory_instrumentation_supplemental_file.doc.

In order to demonstrate that tryptophan is contributing to the LDL fluorescence, we compared spectra of tryptophan, LDL and of various oxidized LDL (OxdLDL) species generated according to an oxidation method described elsewhere [20]. During the oxidation process spectra were acquired every 1–2 h for the first 24 h and then every 24 h for up to 5 days. Background for the spectroscopic measurements was acquired from a CuSO4 solution as used in the oxidation method quoted [16].

2.2.4. IFS308 modeling

IFS308 data were modeled by a linear combination of a minimum number of IFS308 basis spectra that would provide the best fit [12], which allowed us to extract the relative fit contributions of the basis spectra to the experimental IFS308. Goodness of fit was measured by root mean square error of the residual (experimental spectrum minus model fit) divided by peak IFS308.

While modeling by way of a minimum number of basis spectra was aimed at providing the best possible and most robust fit, this processing step did not necessarily demonstrate that the atherosclerotic plaque-specific molecules targeted by us, such as LDL, oxidized LDL (OxdLDL), versican, hyaluronan and decorin-biglycan, contributed to the IFS308 spectra of the specimens analyzed. With this objective in mind we used these spectra to perform a second modeling run.

2.3. Histology

Coronary segments were routinely processed and cut into serial 5 μm-thick sections. All specimens were subjected to hematoxylin-eosin and Movat’s pentachrome staining for identification of proteoglycans (blue), collagen (yellow) and elastin fibers (black). Intense proteoglycan staining was defined as intense blue coloration on Movat’s pentachrome in the absence of elastin or collagen fibers. Foam cells were identified histologically by their foamy cytoplasm. Specimens with either SFCs or superficial proteoglycan accumulations, alone or in combination, were subjected to immunohistochemical studies for the identification of versican (anti-versican antisera – LF99), kindly provided by Dr. Larry Fisher (Craniofacial and Skeletal Disease Branch, National Institutes of Dental Research, NIH, Bethesda, MD) and hyaluronan (hyaluronan binding protein, gift of Dr. Charles Underhill, Georgetown University, Washington, DC) [17,18]. The purpose of the latter two staining methods was to demonstrate that each of the diagnostic group’s specimens harbored SFCs and/or species of proteoglycans known to predispose the coronary plaque to the process of erosion.

Slides were examined by a cardiovascular pathologist blinded to the spectroscopic results who used a modified American Heart Association classification [19], dividing the coronary segments into: normal, intimal thickening, intimal xanthoma, pathological intimal thickening, fibrous cap atheroma, fibrocalcific plaque, and thin fibrous cap atheroma (cap thickness < 65 μm). Proteoglycans and
SFCs were identified on each slide in the region of interest to a depth of 50 μm, which corresponds to the sampling depth at 308 nm excitation [20].

The diagnostic target group consisted of three subgroups: specimens with SFCs alone, a combination of SFCs and superficial proteoglycans, or intense proteoglycan staining at the same time positive for hyaluronan or versican in the absence of SFCs.

2.4. Analysis methods and diagnostic algorithms

The purpose of our study was the identification of the diagnostic target group defined above. To achieve this goal, we employed an algorithm using as diagnostic variables the basis spectra contributions to IFS308. To analyze the data set in an unbiased manner we used logistic regression and leave-one-out cross-validation, method that obviates the need for a training and validation set of [12].

3. Results

3.1. Histology

We investigated 119 coronary specimens: 32 normal and intimal thickening lesions, 4 intimal xanthomas, 23 pathological intimal thickening, 45 fibrous cap atheromas, 0 thin fibrous cap atheromas, and 15 fibrocalcific plaques.

SFCs alone were identified in 4 coronary specimens. Fifteen coronary specimens demonstrated SFCs accompanied by proteoglycans in the same region. In 5 other coronary specimens, we identified intense proteoglycan staining by Movat’s pentachrome accompanied by positive immunohistochemical staining for hyaluronan or versican, in the absence of SFCs. The combination of these three subgroups represented our diagnostic algorithm’s target group (n = 24).

Tissue was available for anti-versican and hyaluronan binding protein staining in 20 and 18 specimens of the diagnostic target group. The two staining modalities were positive in 18 and 14 of these specimens (90% and 78%, respectively).

3.2. IFS and DRS measurements

3.2.1. IFS308 basis spectra

The 6 candidate IFS308 basis spectra were listed in the Methods section. Only five of them were required for optimal model fits: FCAP, MED, TRP, LDL, and RS (Fig. 1a). The use of each of these basis spectra resulted in significantly better fits than the models performed in their absence (P<0.05). The use of the calcification spectrum did not make a difference in the IFS308 fit (P = 0.85).

FCAP and MED basis spectra were similar to those of collagen and elastin (Fig. 1b) (peaks at 401 nm, 413, 405 nm 406 nm, respectively). The fits were significantly better using FCAP and MED than using collagen and elastin (P = 0.02 for goodness of fit).

3.2.2. Identification of tryptophan fluorescence in LDL and proteoglycans spectra

The LDL basis spectrum peaked at 348 nm, demonstrating a very large shift towards the ultra-violet spectral region in comparison with FCAP and MED, while the tryptophan spectrum peaked at 362 nm. Despite the 14 nm shift between the LDL and tryptophan peaks, we suspected that the LDL fluorescence is due to tryptophan. To verify this assertion, we acquired spectra of LDL oxidized using the Cu²⁺ method [16]. At 1 h the OxdLDL spectrum had a peak in the same region (363 nm) with that of TRP (362 nm) (Fig. 1c). At 2 h the peak in the 348–362 nm region disappeared, making room for a peak situated at 430 nm (online Fig. 2-supplemental).

Fig. 1. Intrinsic fluorescence basis spectra at 308 nm excitation (a). Comparison between FCAP (fibrous cap spectrum) and MED (media spectrum) versus collagen and elastin spectra (b). Intrinsic fluorescence spectra at 308 nm excitation wavelength of low-density lipoprotein (LDL), tryptophan, and oxidized low-density lipoprotein (OxdLDL) (c).

Fig. 2 illustrates the spectra of versican, decorin-biglycan, OxdLDL, and hyaluronan and their fits using our 5 basis spectra. As expected their modeling demonstrated a relatively high contribution of tryptophan to versican, decorin-biglycan and OxdLDL. Hyaluronan demonstrated a significant contribution from the tryptophan spectrum as well (Fig. 2). Since tryptophan is not a structural component of hyaluronan, we acquired spectra of oligo-4 hyaluronan, and its two monomers NAG and d-glucuronic acid. Fig. 3-supplemental demonstrates that both oligo-4 hyaluronan and NAG have peaks in the near-UV region, at 362 nm and 348 nm, respectively. This suggests that NAG is the chemical moiety responsible for the tryptophan pseudo-contribution to the hyaluronan spectrum.
3.2.3. IFS308 modeling

In order to extract data regarding the contribution of LDL and TRP to IFS308, we used a modeling system employing the minimum number of basis spectra required to obtain an excellent fit: FCAP, MED, TRP, LDL, and RS (Fig. 1a). We calculated a diagnostic variable labeled Total Tryptophan as the sum of LDL and TRP contributions. Total Tryptophan contribution, defined in this manner, represents the expression of the major tryptophan-containing molecules in the atherosclerotic plaques: LDL, OxdLDL, versican and decorin-biglycan. A chemical moiety with fluorescence similar to tryptophan is NAG (Fig. 3-supplemental) – a structural component of hyaluronan, not known to be present in collagen, elastin, versican and LDL. Since its fluorescence properties at 308 nm excitation are similar to tryptophan, we considered for reasons of diagnostic simplicity to include its fluorescence contribution in the Total Tryptophan contribution.

The total tryptophan burden may include the participation of other tryptophan-containing molecules as well, such as the matrix regulatory proteins (osteopontin and osteocalcin) or matrix metalloprotease proteins, which are known to contain tryptophan (http://www.uniprot.org). Fig. 3 illustrates the spectra of osteopontin (Sigma–Aldrich, St. Louis, MO) and osteocalcin (graciously donated by Dr. Caren Gundberg, Yale University, New Haven, CT) [21]. One can notice their spectral peaks stretching from 368 nm to 374 nm, close to the 361 nm location of the tryptophan peak, suggesting a significant spectral contribution from this amino acid.

Overall, the average Total Tryptophan contribution was 28% ± 19% in the diagnostic target group (n = 24), much higher than 6% ± 8% for the coronary lesions without SFCs or proteoglycan deposits (n = 95) (Fig. 4). The highest Total Tryptophan contribution was 65%, recorded in a sample with abundant superficial SFCs and proteoglycans.

3.2.4. IFS308 modeling using spectra of LDL and proteoglycans

In order to demonstrate that LDL and proteoglycans were indeed the tryptophan-containing chemical moieties contributing to IFS308, we performed a second modeling of our diagnostic target group using the actual spectra of LDL, versican, hyaluronan, decorin-biglycan and FCAP. The fits were only slightly inferior in accuracy to those obtained by way of the 5 basis spectra (goodness of fit 97.4% versus 98.2%, P < 0.05). Average contributions of LDL and proteoglycans were 15% and 19%. Contributions of versican, hyaluronan, and decorin-biglycan were 7%, 3% and 9%, respectively.

3.3. Diagnostic algorithm

A binary diagnostic algorithm using Total Tryptophan and FCAP contributions demonstrated a sensitivity of 92%, specificity of 80% and accuracy of 82% (Fig. 5) in identifying the diagnostic target group (total n = 24).

4. Discussion

Heart disease remains the leading cause of death in both men and women in the United States. Plaque rupture and plaque erosion account for most cases of myocardial infarction and sudden death [1].

The current study demonstrates that intrinsic fluorescence is able to collectively identify proteoglycans and SFCs in coronary specimens. Proteoglycans, LDL and SFCs are plaque elements that co-localize and functionally interact very closely, contributing to the development of plaque erosion or rupture [3,22]. Superficial lipoprotein-rich macrophages are a landmark pathological feature of ruptured lesions, and are present in the eroded plaques as well, representing 3.44% versus 2.53% of the intimal surface in ruptured and eroded plaques, respectively [1,23]. Proteoglycans, of which versican and the glycosaminoglycan hyaluronan...
Fig. 4. Transversal sections of five human coronary specimens and their corresponding intrinsic fluorescence spectra and fit models at 308 nm: (a) fibrous cap atheroma with high content of SFCs (superficial foam cells) and lymphocytic infiltration of intima and media (hematoxylin-eosin, ×10), accompanied by proteoglycan accumulation in the superficial layers (Movat’s pentachrome, not shown); (b) fibrous cap atheroma with SFCs accompanied by proteoglycans in the top 50 µm (Movat’s pentachrome, blue coloration for proteoglycans, ×10, with a zoom-in in the left panel for better illustration of SFCs); (c) pathological intimal thickening with SFCs accompanied by proteoglycans (Movat’s pentachrome, not shown) and versican accumulations (anti-versican antisera staining, red-brown coloration for versican, ×20, with a zoom-out in the left panel for better illustration of anti-versican staining); (d) calcified fibrous cap atheroma with intense accumulations of proteoglycans (Movat’s pentachrome, not shown), versican (anti-versican antisera, not shown) and hyaluronan in the top 50 µm, accompanied by lymphocytic infiltration, in the absence of SFCs (hyaluronan binding protein staining, red-brown coloration for hyaluronan, ×10, with a zoom-in in the left panel for better illustration of anti-hyaluronan staining); (e) atherosclerotic specimen with no SFCs or proteoglycan accumulations (Movat’s pentachrome, ×10). Solid bars indicate 50 µm length.

are the most important for the purpose of vulnerable plaque identification [24], are found both in the thick fibrous cap atheromas and pathological intimal thickening lesions, as well as in the lipid-rich core of fibrous cap atheromas [22]. Therefore the identification of proteoglycans, lipoproteins and foam cells as a group would represent an important step in developing a spectroscopic clinical tool for the detection of vulnerable atherosclerotic plaques.
4.1. Spectroscopic principle of global lipoprotein and proteoglycan identification

Our method is based on the fluorescence properties of tryptophan. The molecules we investigated were those related to plaque vulnerability: LDL, OxdLDL and proteoglycans. The LDL spectrum had a shape very similar to that of tryptophan, with a peak situated at 348 nm, 14 nm away from the tryptophan peak (Fig. 1a). The spectrum of a molecule similar to LDL – LDL oxidized for 1 h using the Cu+2 method (OxdLDL) – displayed a peak superimposed with the tryptophan peak, offering a second reason to believe that the near-ultraviolet LDL fluorescence is due to tryptophan (Fig. 1c).

The 2-h oxidation product of the same reaction lost the fluorescence as well (34% tryptophan spectra contribution), in spite of the fact that hyaluronan's structure does not include tryptophan. Its spectrum showed a tryptophan contribution of 57%, and a peak situated very closely to that of tryptophan (Fig. 2).

We also demonstrated that versican, a proteoglycan with an important role in the process of coronary erosion, has features of tryptophan fluorescence. Its spectrum showed a tryptophan contribution of 34% (34% tryptophan spectra contribution), in spite of the fact that hyaluronan’s structure does not include tryptophan. To elucidate the origin of these features we acquired spectra of oligo-4 hyaluronan. This is a small hyaluronan molecule synthesized through an enzymatic process, which excludes the possibility of tryptophan contamination from outside sources. The latter can occur in the large hyaluronan molecules extracted from animal tissue, where tryptophan-containing versican moieties could still be linked onto the hyaluronan chain through the intermediation of hyaluronan binding domains [26]. Interestingly though, in a similar way with the large hyaluronan, oligo-4 hyaluronan showed a fluorescence feature with a peak at 362 nm (online Fig. 3-supplemental). Spectra of the two monomers, NAG and D-glucuronic acid, were acquired as well. NAG demonstrated a peak at 348 nm, closely located to tryptophan and oligo-4 hyaluronan, which can explain the fluorescence features of hyaluronan in this spectral region. NAG fluorescence properties are similar to tryptophan, and we considered for reasons of diagnostic simplicity to include its fluorescence contribution in the Total Tryptophan contribution.

4.2. Histological evidence of lipoproteins, versican and hyaluronan

Our target diagnostic group was formed by specimens with accumulations of SFCs and proteoglycans in their superficial layers (n = 24). Since lipoproteins (in our case represented by their cellular surrogate – SFCs), versican and hyaluronan are main chemical moieties of the vulnerable plaque, we felt necessary to demonstrate that they were present in the majority of the target group specimens. Indeed these three histological elements were found respectively in 79%, 90% and 78% of the specimens investigated, and at least one of them was found in each specimen of the diagnostic group.

4.3. Diagnostic potential

We established in this study a set of intrinsic fluorescence spectra of high medical interest, which were used to identify coronary plaques with superficial proteoglycans and foam cells. Importantly, intrinsic fluorescence spectra can be acquired in less than 200 ms and analyzed online in less than 1 s, allowing for real-time chemical quantitative analysis. In addition, the fiberoptic probe is approximately 3 m in length and 1 mm thick allowing to be inserted through a catheter into the coronary vessels in order to acquire spectra of coronary plaques.

4.4. Limitations

Our group of coronary specimens did not include thin fibrous cap atheromas, arterial thrombi or intra-plaque hemorrhages, and thus we could not characterize the role of tryptophan fluorescence in these types of lesions.
5. Conclusion

We were able to establish a set of characteristic LDL, oxidized LDL, hyaluronan and versican fluorescence spectra, ready to be used for real-time diagnosis. IFS308 had the ability to identify coronary plaques with SFCs and/or proteoglycans in their superficial 50 µm. These are features of plaques prone to erosion or rupture, identification of which is an important step in developing a fiber-optic invasive clinical tool to detect vulnerable atherosclerotic plaques.

Conflict of interest

There are no conflicts of interest for any of the authors listed.

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Appendix A. Supplementary data


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